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Angela L. Chiu et al.

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

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1. ☒ Fee Transmittal Form
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2. ☒ Specification [Total Pages 38]

(preferred arrangement set forth below)

- Descriptive title of invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
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- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings(if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s)(35 USC 113) [Total Sheets 8]

4. Oath or Declaration [Total Pages 5]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)

5. ☐ Incorporation by reference(useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath
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disclosure of the accompanying application and is hereby incorporated by
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7. ☐ Nucleotide and/or Amino Acid Sequence Submission
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ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers(cover sheet & document(s))
9. ☐ 37 CFR 3.73(b)Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☒ Copies of IDS
Statement(IDS)/PTO-1449 Citations
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(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	Samuel H. Dworetzky				
ADDRESS	AT&T CORP. P.O. Box 4110				
CITY	Middletown	STATE	New Jersey	ZIP CODE	07748-4110
COUNTRY	United States of America			FAX	732-368-6932

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Robert B. Levy	Reg. #	28234	
TELEPHONE	908-221-5714			
SIGNATURE	[Signature]		DATE	Dec. 30, 1999

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METHOD AND APPARATUS FOR AN ARCHITECTURE AND DESIGN OF INTERNET PROTOCOL QUALITY OF SERVICE PROVISIONING

Field of the Invention

5 This invention relates to a method and apparatus for an architecture and design of an Internet Protocol (IP) Quality of Service (QoS) provisioning. Specifically, the invention implements separate classes of services according to design parameters for ensuring maximum deliverability of packets for the highest levels of service.

Related Application

10 Another patent application was filed concurrently and is incorporated by reference "Method and Apparatus for Provisioning and Monitoring Internet Protocol Quality of Service" (U.S. Serial No. _____).

Background

15 Quality of Service (QoS) mechanisms for packet transmission typically utilize data path mechanisms (packet classification, policing, shaping, buffering, and scheduling) and control path mechanisms (signaling, resource reservation, routing) for ensuring packet delivery during periods of network congestion. Currently within the networking community, there exist two schools of thought regarding QoS, represented by two working groups of the Internet
20 Engineering Task Force (IETF). The first school of thought, Integrated Services (int-serv), supports fine-grained data path and control path QoS mechanisms, while the second school of thought, Differentiated Services (diff-serv), supports coarse-grained mechanisms that apply to a small set of aggregate flow classes. Int-serv mechanisms may provide the appropriate solution in

the longer term; however, the QoS mechanisms now available from most mature router vendors correspond more closely with the diff-serv mechanisms.

The main concepts of diff-serv are: (1) aggregates of flows (rather than individual flows) receive a service; (2) the forwarding engine is required to provide delay and loss guarantees in a statistical or relative (rather than absolute quantitative) sense; and (3) the forwarding treatment that should be received by a packet is identified by a byte in the packet header, rather than by a flow lookup. The flow aggregates in diff-serv can be specified in several ways. To illustrate, consider a corporation that has three sites. Each site communicates with all the other sites over the Internet. A site may also communicate with other entities connected to the global Internet. In such a case, the flow aggregates that should receive differentiated QoS can be specified by:

1. Point-to-point flows - a set of packets originating from a first site and destined to a second site.
2. Point-to-multi-point flows - a set of packets originating from a first site and destined to either a second or a third site.
3. Point-to-any-point flows - any packet that originates from a first site and destined to any other site.

To signal resource reservation needs, the IETF has developed the Reserve Reservation (RSVP) hop-by-hop protocol. Although RSVP was initially intended for int-serv, it was later realized that RSVP provides adequate signaling support for aggregates of traffic flows, such as those found in diff-serv schemes. In the diff-serv schemes, hop-by-hop signaling is used only for admission control, not for creating per-flow state in intermediate routers, as is typically done in the int-serv scheme.

A need exists for an architecture and design supporting Quality of Service (QoS) features using Internet Protocol supporting (1) a guarantee of performance under certain conditions, (2) supporting differentiation of product services with Internet providers, and (3) attaining economies of scale with multiple services integrated on a common network. The QoS should maximize the probability of delivery of packets for the highest levels of service while minimizing the probability of dropped packets. However, this optimization should not erode the lowest levels of service such that packet delivery delays for lower classes of services are so long that the lower classes of services cease to deliver the level of subscribed service.

Summary

This invention is a diff-serv architecture, design, and realization for providing quality of service using Internet Protocol based on a multi-class differentiated service scheme where the service provider uses a resource management system operating on a computer to enable the optimal use of bandwidth and buffer resources at each router along the various links between the ingress and egress points in a network. The resource reservation system checks to determine if sufficient bandwidth resources are available along the path requested by the customer for a particular class-determining the parameters such that a packet can be transmitted according to the desired service class.

The resource management system optimizes packet traffic flow from the values for the maximum QoS deterioration of the traffic caused by reservations for Virtual Leased Line traffic, the maximum QoS deterioration of the Basic service caused by the Assured service, and the packet loss ratios of the Assured versus the Basic services. These values provide information necessary for determining capacity planning and provide the minimum utilization of a link to be

considered when congestion occurs. Average utilization of Virtual Leased Line traffic with respect to the peak rate subscribed along each link is computed versus the maximum percentage of the total Virtual Leased Line subscription with respect to the link capacity. Preconfiguration of all the routers with parameter settings for Weighted Fair Queuing (WFQ), buffer allocations, and Weighted Random Early Discard (WRED) further allows the resource reservation system to maintain the desired QoS in the network.

Brief Description of the Drawings

The summary of the invention, as well as the following detailed description of preferred embodiments, is better understood when read in conjunction with the accompanying drawings, which are included by way of example, and not by way of limitation with regard to the claimed invention.

Figure 1 illustrates the hierarchy of service classifications according to Virtual Leased Line, Assured and Basic service classes in a fixed bandwidth environment.

Figure 2 illustrates the routing scheme of a typical path for data or voice over a series of routers.

Figure 3 illustrates the Virtual Leased Line origin shaping the traffic for the Virtual Leased Line service.

Figure 4 illustrates a flow chart describing the policing and marking of the traffic generally.

Figure 5 illustrates a flow chart describing the marking and policing of Virtual Leased Line traffic.

Figure 6 illustrates a flow chart describing the marking and policing of Assured traffic.

Figure 7 illustrates a flow chart describing the configuration of the router parameters.

Figure 8 illustrates a diagram of the optimal region of the traffic mix.

Detailed Description of Preferred Embodiments

Figure 1 illustrates the three classes of services: Virtual Leased Line (10), Assured (12) and Basic (14) services supported across a data line of fixed bandwidth (15) for Quality of Service (QoS) functionality. These three classes of services have different characteristics in order to satisfy the service demands of a user. At the highest level in the QoS hierarchy, Virtual Leased Line service (10) is designed for applications and customers that require predictable point-to-point performance. A Virtual Leased Line (10) is a point-to-point connection with a guaranteed peak transmission rate. By implementing performance restrictions and by setting criteria on the line, packet loss due to network congestion, delay, and delay-jitter is minimized. Examples of applications employing Virtual Leased Line level of service (10) include Voice over Internet Protocol (VoIP), transaction processing, and multimedia applications that require low delay and jitter.

The second class of service in the hierarchy is Assured service (12) and is designed for customers who need “better than best-effort service.” When congestion exists, packets that receive Assured service (12) gain a degree of priority over packets that receive Basic service (14) in terms of packet loss. Basic service (14), the lowest class of service, is designed for customers who require general Internet access where some delay is not critical to the success of the application. Basic service (14) is the equivalent of best-effort delivery service, that is widely used in current Internet servicing.

A Virtual Leased Line (10) can be realized independently of Assured service, and vice versa. The three-class model can be extended with more classes to include additional enhanced

classes that are similar to the Virtual Leased Line service (10) class but also provides for over-booking of packets and thus can be subscribed to by a customer at a less expensive rate. Typically, a Virtual Leased Line (10) transmitting packets is not over-booked. During intervals where Virtual Leased Line (10) data flows do not use their allotted bandwidth, the remaining
5 bandwidth (16) is available to the Assured (12) and Basic (14) traffic flows. That is, Assured (12) and Basic (14) traffic flows share the bandwidth not committed to Virtual Leased Line (10) traffic flows as well as any idle bandwidth committed to Virtual Leased Line (10) traffic flows.

Since Assured packets obtain higher priority handling than Basic packets during periods of congestion, Assured (12) traffic flows obtain a degree of protection against overloads of Basic
10 (14) traffic. Assured (12) packets get priority over Basic (14) packets at each hop; but unlike the case for Virtual Leased Line (10) packets, the routers provide no guarantee that the delays at all hops along the flow path will be small. Instead, traffic measurement, provisioning systems plans and management of the network capacity allow Assured (12) traffic flows to experience small delays with sufficiently high probability. With appropriate traffic engineering and pricing,
15 Assured (12) traffic consistently sees a lightly loaded “best-effort” network.

As illustrated in Figure 1, traffic capacity on a particular link is shared among Virtual Leased Line (10), Assured (12), and Basic (14) traffic services. Virtual Leased Line (10) traffic obtains the highest priority, but is limited by a traffic rate cap (18). When idle, Virtual Leased Line (10) capacity can be utilized (16) by Assured (12) and Basic (14) packets. One mechanism
20 for optimizing traffic flow supports a prioritized dropping of some of the packets differentiating Assured (12) traffic flow from Basic (14) traffic flow.

The three classes of service operate using a traffic priority scheme configured such that priority is given to Virtual Leased Line (10) traffic such that the service quality to the Assured

(12) and Basic (14) classes is impacted. Likewise, the priority scheme for the Assured (12) service class impacts the quality of service to the Basic (14) service class.

Engineering guidelines for the appropriate choices of parameters and bandwidth allocation for each of the three classes for each link in the network are:

1. the maximum bandwidth reserved for the Virtual Leased Line class;
2. the weight assignments employed in a Weighted Fair Queuing (“WFQ”) packet scheduling mechanism (alternative schemes could employ variations of weighted round robin schemes such as Modified Deficit Round Robin (“M-DRR”) as an approximation of WFQ when the number of queues is small);
3. the global and local thresholds for buffer management; and
4. parameters for configuring a Weighted Random Early Discard (“WRED”) mechanism, for each of the three classes.

Virtual Leased Line service (10) guarantees a unidirectional reserved rate R_f for a point-to-point flow. When packets arrive at an ingress point in the network at a rate exceeding R_f , they are dropped prior to entering the network. The reserved rate supported by the Virtual Leased Line service (10) may be renegotiated or may vary over time. Virtual Leased Line service (10) is similar to leased line service, but a leased line service guarantees a fixed delay, while the delay in Virtual Leased Line service (10) is variable but typically very low. While leased line service is also realized by reserving a physical circuit from the origin to destination, the Virtual Leased Line service (10) is typically realized on an Internet Protocol network that statistically multiplexes its resources among several services across a virtual circuit. Finally, the time-scale

at which the transmission rate can be changed is smaller for a Virtual Leased Line (10) service than a leased line service.

The essence of the point-to-point restriction for Virtual Leased Line (10) bandwidth can be guaranteed between a pair of ingress and egress routers by reserving appropriate bandwidth on all routers along the path of transmission. This eliminates the difficulties associated with providing a high quality service across many possible (non-deterministic) paths, particularly when the egress points can vary. Under congestion conditions, each link along the path is designed to have a sufficient bandwidth to carry the Virtual Leased Line traffic with no packet loss due to the provisioning features of the Virtual Leased Line service (10).

Figure 2 illustrates the routing scheme of a typical path for data or voice over a series of nodes. In Figure 2, the path of a first Virtual Leased Line (20) across voice gateways 1 (22) and 3 (24) traverses nodes R2 (26), R5 (28) and R4 (30), respectively. Typically, R2 (26), R5 (28) and R4 (30) are routers but other communication devices can also be utilized to receive and transmit packets along the communication network. Similarly, the path of a second Virtual Leased Line 32, across voice gateways 1 (22) and 2 (34) traverses the same routed path. Thus, the bandwidth requests for the first (20) and second (32) Virtual Leased Lines are reserved individually on the nodes R2 (26) - R5 (28) and R5 (28) - R4 (30) links, and the nodes R2 (26) - R5 (28), and R5 (28) - R4 (30), respectively. In this illustration, the nodes R2 (26) - R5 (28), and R5 (28) - R4 (30) are routers.

Each of the nodes along the path for the first (20) and the second (32) Virtual Leased Lines must reserve a sufficient bandwidth for the packet traffic resources for both the Virtual Leased Lines (20) and (32) operating at peak performance. Additional nodes or routers R1 (36), R3 (38) and R6 (40) can be connected to the nodes or routers handling the first Virtual Leased

Line (20) and the second Virtual Leased Line (32). Allocation of the bandwidth also needs to be determined for any Virtual Leased Line services being handled through these nodes.

The voice gateways 1 (22), 2 (34) and 3 (24) comprise systems that are typically computers, routers or information appliances that typically include a microprocessor (42),
5 memory system (44), and a communication interface or connection (46) that allows the system and voice gateway to be connected to the communication network. Other components comprising the system are a transmitter/receiver device (48), a controller (50), and a weight provisioning mechanism (52). The communication interface or connection (46) may be a
10 modem or other data packet transmission and receiving device. The transmitter/receiver device (48) is capable of sending and receiving packets to and from the system to the communication network. The controller (50) is capable of determining at the ingress point on the communication network whether the packets are assigned to the first service class and whether these packets can be sent at a transmission rate corresponding to the first service class along each
15 of the link paths (connections between voice gateways and routers or between two routers) on the communication network. The weight provisioning mechanism (52) is capable of assigning priority classification weights to the first set of packets according to a predetermined scheme such as Weighted Fair Queuing (WFQ) or Modified Deficit Round Robin (M-DRR). The components described above do not necessarily have to be located within the same system or computer but can be coupled or connected together to form the system.

20 If the Virtual Leased Line (32) fails at node R5 (28), then the architecture will redirect packet traffic along alternative routes. When the Virtual Leased Line (32) is established, a calculation is made along alternative pathways such as the data pathway connected by nodes R2 (26) - R1 (36) - R3 (38) - R4 (30). When node R5 (28) fails, the architecture automatically

redirects the packet traffic along the alternative pathway because the architecture has reserved Virtual Leased Line bandwidth along the alternative pathways.

If and when traffic congestion conditions occur, the links between nodes R4 (30) -R5 (28) and R5 (28) - R2 (26) must be able to serve all Virtual Leased Line service links in the route. Thus, the summation of all the reserved rates or guaranteed bandwidth for Virtual Leased Lines on a particular link must be less than the total bandwidth allocation for Virtual Leased Line class on that link. Guarantees for no packet loss for Virtual Leased Line service can only be made if the aggregate rate reserved for all the Virtual Leased Lines services on any link is less than the total capacity available on that link. The bandwidth share that can safely be dedicated to Virtual Leased Line service without adverse impact on Assured and Basic classes requires setting some predetermined criteria and parameters.

Various levels of service availability can be provided on links based on the time scale corresponding to recover time from a link, node or router failure. Given enhanced forwarding and routing capabilities typical of most routers, such as those available in Multi-Protocol Layered Switching (MPLS), recovery times can be reduced to the millisecond range. If the Virtual Leased Line service guarantees are to be maintained during a router failure, then Virtual Leased Line capacity planning and admission control has to account for the alternative paths that must be reserved for different failure scenarios. However, accounting for these alternative paths may limit the total amount of Virtual Leased Line traffic admitted along the various links.

Figure 3 illustrates the Virtual Leased Line origin shaping the traffic for the Virtual Leased Line service. The packets are marked by a resource management system operating on a computer according to the Virtual Leased Line service class (300). If a computer or server connected to the origin node or ingress point of the network cannot be trusted to shape the

packets appropriately (304), then the policing is carried out at the trust boundary (306). This is accomplished by implementing the appropriate setting of the Internet Protocol precedence bits of the packet (308). In particular, using the router's policing mechanism, the ingress router can drop packets arriving at a rate exceeding the reserved rate.

5 The router identifies the packets belonging to the Virtual Leased Line service class based on the Diffserv Codepoints (DSCP) in the packet's header. The expedited packet handling behavior denotes the Virtual Leased Line service class. To access the Virtual Leased Line service, the router makes the following two scheduling decisions:

1. Allocation of link bandwidth (310) between the Virtual Leased Line and other service classes.
2. Allocation of link bandwidth (312) between the various traffic flows within the Virtual Leased Line class.

10 The allocation of link bandwidth (310) between the Virtual Leased Line and other service classes provides extremely low delay and jitter to the Virtual Leased Line service class; the router is programed to prioritize the scheduling. The router may employ a class-based scheduling algorithm (314) that allocates the bandwidth among various service classes in a weighted fair manner (316), such as by WFQ or M-DRR. In this embodiment, the router may have to emulate priority behavior by assigning a sufficiently large weight to the Virtual Leased Line class. This weight provision may be required to be significantly larger than what is typically necessary to allocate the desired bandwidth to the appropriate class. For example, if 20% bandwidth is allocated to Virtual Leased Line, a network administrator may have to assign a weight that allocates 50% bandwidth.

For allocation of link bandwidth (312) between the various flows within the Virtual Leased Line class, the router could employ a single first-in, first-out (“FIFO”) relationship scheme (318) for these traffic flows. There may be an advantage to using separate FIFOs if the Virtual Leased Line is used to aggregate smaller bursty flows; but for relatively smooth applications, such as Voice over IP (“VoIP”) applications, a single FIFO is typically sufficient.

Virtual Leased Line service typically guarantees a near-zero packet loss due to congestion. This guarantee can typically only be made if the aggregate rate reserved for all the Virtual Leased Lines on any link is less than the capacity available on that link for the Virtual Leased Line service. As Multi-Protocol Layered Switching (“MPLS”) mechanisms become available, they also can be used to provide enhanced control of the Virtual Leased Line path and to provide ultra-fast restoration capabilities in response to router failures. RSVP, as a signaling protocol for aggregate QoS flows, can also improve the flexibility of QoS subscriptions by changing the signaling protocol from a current static subscription to a dynamic subscription in both time-scale and rate renegotiations.

Figure 4 illustrates a flow chart describing the policing and marking of the traffic, Figure 5 illustrates the marking and policing of Virtual Leased Line traffic, and Figure 6 illustrates the marking and policing of Assured traffic. Virtual Leased Line service typically has a peak rate r . The end points for the Virtual Leased Line are the ingress and egress points in the network. Also included are QoS peering points where the network peers with other Internet Service Providers (ISPs) that provide similar class of services. Many subclasses of Virtual Leased Line services exist using the point-to-point, unidirectional network scheme. Typical examples include:

1. A point-to-point, unidirectional Virtual Leased Line service with a point-to-point, unidirectional network link. An example of this type of service is the

transmission of international VoIP traffic from Taiwan to Mexico while the voice traffic on the reverse direction is carried through the traditional PSTN network.

2. A point-to-point, bidirectional Virtual Leased Line service, with asymmetric bandwidths in each direction with two point-to-point, unidirectional network links in the reverse direction, each possessing its own rate. This service type can be used to support a bidirectional line between two voice gateways.
3. A point-to-multipoint, unidirectional Virtual Leased Line service with a fixed aggregate rate r can be built with multiple point-to-point, unidirectional network elements with the same rate r . This service type can be used for a corporation central office to distribute real-time mission-critical information to a plurality of branch offices. In this example, the Virtual Leased Line traffic rate to any one point among the multiple points can be as large as r , given that the aggregate Virtual Leased Line traffic rate to all other points is zero. Comparing the same number of point-to-point, unidirectional Virtual Leased Line services each with its own access line and Virtual Leased Line rate r , the amount of the bandwidth reserved on the path to each egress point is the same in both cases. However, the potential amount of Virtual Leased Line traffic carried on the network is smaller in the former illustration since the aggregated Virtual Leased Line traffic is at most r , while the aggregated Virtual Leased Line traffic can be multiples of r to each egress point.
4. A multipoint-to-multipoint, bidirectional Virtual Leased Line service can be viewed as separate point-to-multipoint, unidirectional Virtual Leased Line service

from each of the multiple points. This service type can be used for a corporation having mission critical information exchange among a few dedicated sites.

When a packet is ready to leave the ingress router (400), the Virtual Leased Line service determines the relationship between a customer's subscribed rate and their access rate. The rate of a point-to-point, unidirectional Virtual Leased Line from ingress router A to egress router B is denoted as r_{AB} (402) and connects customer routers A and B, respectively. The marking and policing of Virtual Leased Line traffic from point A to a point B_I also accounts for the peak rate r_{ABI} along the link between the two points (404). Subsequent links (406) between additional links along the ultimate path of the Virtual Leased Line traffic is also determined for r_{ABI} (408). By letting R_A be the bandwidth of a customer's access link to the network, two cases can exist:

1. A full-access-rate scheme with $r_{AB} = R_A$. For the link (404) or multiple links (408) described in Figure 4, a determination is made in Figure 5 as to whether the destination is to B_I (500). If not, the traffic is marked as Virtual Leased Line traffic (502). When the subscribed Virtual Leased Line rate equals the subscription rate (504), all traffic directed to router B is marked by the ingress router A as Virtual Leased Line traffic regardless of whether the packet has been previously marked as another service classification (506). Hence, there is no requirement for rate-limiting of the traffic flow, but there is a requirement for packet classification for determining whether the packet was previously marked as Virtual Leased Line traffic. Otherwise, a determination is made as to whether the packet can be assigned a different route to the final destination or be sent by a lower subscription classification.

2. A sub-access-rate scheme where $r_{AB} < R_A$. This is the case where the subscribed Virtual Leased Line rate is lower than the subscription rate (507). In order to provide customers with the flexibility of defining that part of their traffic that is to be classified as Virtual Leased Line traffic, as well as to simplify provisioning at ingress routers, all Virtual Leased Line traffic is required to be marked (508) by the customer prior to reaching the ingress routers. In this illustration, there are both rate-limiting and packet filtering requirements to determine whether the rate of packets with Virtual Leased Line marking assigned to the destination address router B is within r_{AB} . If the packet is marked as Virtual Leased Line traffic (508) with its destination as router B (500), and the packet is within the rate limitation (510), then the packet is sent as Virtual Leased Line traffic (506). Otherwise, if the packet has router B as its destination and the traffic rate exceeds r_{AB} , then the packet is dropped (512), since marking it as a different class will result in assignment to a different buffer queue and may cause an out-of-order packet delivery. If the destination of the packet is not router B, then the scheme determines whether the packet can be sent by another, lower subscription class of service.

Assured service supports a “better than best-effort” service that relies on packet marking and dropping mechanisms to provide preferential treatment to Assured packets over Basic packets during periods of traffic congestion. In particular, if Weighed Random Early Discard (WRED) scheme is enabled to control packet dropping, the mechanism typically is configured so that the Assured packets that are arriving encounter drops at a much smaller probability than Basic packet arrivals. Typically, a traffic measurement tool is used to manage and monitor Assured traffic volumes and their stability, so that network administrators can engineer the

network ensuring that Assured traffic has a controlled impact on the performance of Basic traffic. Assured service is typically subscribed with a rate and a burst size. If part of the Assured traffic from a customer exceeds the subscribed rate and burst allocations, then the Assured traffic for the customer is marked as Basic traffic.

5 For Assured service classes, without QoS peering with another ISP, Assured service is typically not supported for traffic originating from or destined to the general Internet backbone. Since it is not practical to specify all end points in the network except the ones from Internet Gateway Routers (IGRs), a network scheme for Assured traffic should emulate a Virtual Leased Line service, providing point-to-point, unidirectional with a rate r and burst B . When QoS
10 peering with other ISPs that support similar classes of service as Assured service, the network model can be extended to include point-to-any-point, unidirectional and point-to-any-point, bidirectional models with a fixed aggregate rate r and burst B .

The reason to separate the point-to-any-point, unidirectional model from the point-to-any-point, bidirectional scheme is that the packet traffic is marked differently. The point-to-any-point, unidirectional model uses a marker at the ingress point to mark traffic while the point-to-any-point, bidirectional scheme uses a marker at the ingress point to mark traffic in the forward
15 direction and Border Gateway Protocol (BGP) policy marking to mark traffic in the reverse direction.

Other subclasses of Assured services can also be developed using the network schemes.
20 For example, in addition to the subclasses of services previously described regarding Virtual Leased Line services with both rate and burst size, they can also exist as Assured services and can also utilize those schemes provided that delay and jitter requirements are not too stringent. After the Virtual Leased Line analysis is performed in Figures 4 and 5, an analysis is made as to

the Assured service class (410) regarding the marking and policing of Assured service traffic from point A to point C_I with rate r_{ACI} and burst B_{ACI} (412) along the link. Once again, a similar analysis is made along each and every link (414) regarding the marking and policing of Assured service traffic from point A to point C_I with rate r_{ACI} and burst B_{ACI} (416).

Two subclasses are unique to the Assured class of services:

1. A point-to-any-point, unidirectional Assured service with rate r and burst B can be built with the point-to-any-point, unidirectional network link. This service type can be used by a web host to distribute information to all clients on the network.
2. A point-to-any-point, bidirectional Assured service with rate r and burst B can be built with the point-to-any-point, bidirectional network link. This service type can be used by a corporation central office to exchange loss-sensitive information with all of its branch offices on the network.

If rate r_{AC} is denoted as the rate of the point-to-point, unidirectional Assured service class from an ingress router A to egress router C (600), then the point-to-point, unidirectional Assured services can be subdivided into two cases based on the relationship between a customer's subscribed rate and access rate as follows:

1. Full-access-rate model where $r_{AC} = R_A$. This is the case where the subscribed Assured service rate equals the subscribed rate (602); therefore all traffic sent to router C (600) is marked by the ingress router A as Assured traffic regardless of whether the packet was previously marked as Assured service (604). Therefore, there is no requirement for rate-limiting, but there is a requirement to utilize packet filtering in order to determine whether the packet's destination is router C. If the packet's destination is

router C, the packet can be marked as Assured traffic (604). Otherwise, a determination is made as to whether the packet can be routed to another destination or another subscription class of service can be utilized to send the packet.

2. Sub-access-rate model where $r_{AC} < R_A$. This is the case where the subscribed Assured rate is lower than the subscribed rate (602). Similarly to the case in Virtual Leased Line, all Assured traffic should be marked by the customer (608) prior to reaching the ingress router. In this example, both rate-limiting (610) and packet filtering are required checks to determine whether the rate of packets with Assured marking and having router C as their destination is within the subscribed rate r_{AC} and burst B (608). If the packets are within these criteria, the packets maintain their Assured traffic status (604). Otherwise, if the packet is destined to router C and the rate r_{AC} and burst B are exceeded, the packet is marked as Basic traffic (612). If the destination of the packet is not router B, a determination is made as to whether the packet can be sent by a lower subscription class, such as Basic.

Similarly, the point-to-any-point, unidirectional Assured service model can be subdivided into two cases based on the relationship between a customer's subscribed rate and access rate. If rate r_{AS} is denoted as the rate of point-to-any-point, unidirectional Assured service from an ingress router A that connects to a customer router A, the following two cases exist:

1. Full-access-rate scheme where $r_{AS} = R_A$. This is the case where the subscribed Assured rate equals the subscribed rate so that all traffic from router A will be marked by the ingress router as Assured traffic except those packets that have been checked and

marked as Virtual Leased Line traffic. Hence, there is no requirement for rate-limiting or packet filtering determinations.

2. Sub-access-rate scheme where $r_{AS} < R_A$. This is the case where subscribed Assured rate is lower than the subscribed rate. All Assured traffic is marked by the customer prior to reaching the ingress router A. In this illustration, there is a requirement to ascertain the rate limiting and also packet filtering to determine whether the packet arrivals with Assured marking are within rate r_{AS} and burst B . If the packet arrivals are within the rate and burst limits, the packet is marked as Assured traffic. Otherwise, the packet is marked as Basic traffic.

For point-to-any-point, bidirectional Assured traffic, in order to avoid using egress policing/marker at the ingress router A for rate limiting traffic in the reverse direction, a limit is set for the full-access rate only, i.e., $r_{AS} = R_A$. In this case, all traffic from router A will be marked by the ingress router as Assured traffic with CAR except for those packets that have been checked and marked as Virtual Leased Line traffic. Hence, there is no requirement for either rate-limiting or packet filtering determinations to be made. All traffic destined for router A will be marked as Assured traffic with BGP policy propagation except those packets that have been checked and marked as Virtual Leased Line traffic.

Basic service is typically targeted for customers who need general Internet access and where the customer places a higher priority on costs as opposed to the critical aspects of real-time delivery. A minimum level of performance objectives is described with the Basic service and users are often denied bandwidth allocations during times of extreme and short-duration congestion conditions. Because of the terms of the service, the Basic customers can momentarily

experience higher packet loss ratio and delay. Since Basic service is classified as best-effort service with no performance assurance, it is the simplest point-to-any-point, bidirectional scheme. All traffic to or from an ingress point is marked as Basic traffic if it is not marked as either Virtual Leased Line or Assured traffic.

Figure 7 illustrates a flow chart describing the configuration of the router parameters. Router parameters are determined based on the three classes of services between a particular link. First, packets are treated as the Basic traffic (700). Part of overall traffic flow is classified as Virtual Leased Line traffic (702) while the remaining traffic continues to be treated as Basic traffic. From the remaining Basic traffic, additional packets are selected and classified as Assured traffic (704). The following design criteria (706) for the router parameters is set to achieve:

1. Virtual Leased Line traffic packet loss approaching zero and minimization of delay and jitter.

$$P_V \approx p$$

2. QoS received by the Assured traffic flows is noticeably better than the Basic traffic flows during periods of congestion.

$$\frac{P_A}{P_B} \leq \alpha_A$$

The criteria in this equation are that the relative packet loss ratio of the Assured service versus the Basic service can be translated into the relative throughput ratio for transmission control protocol (TCP) connections.

3. Virtual Leased Line traffic is designed such that the QoS of service for the Assured and Basic traffic flows does not experience significant deterioration.

$$\frac{P_{all-V} - P_{all}}{P_{all}} \leq \beta_V$$

4. The Assured traffic does not significantly deteriorate the QoS of the Basic traffic.

$$\frac{P_B - P_{all-V}}{P_{all-V}} \leq \beta_A$$

The time average packet loss ratio is used at each outgoing link as a measure of QoS of the three classes. If P_V , P_A , and P_B denote the long time average packet loss ratios of the Virtual Leased Line, Assured, and Basic traffic, respectively, then P_{all} denotes the long time average packet loss ratio if all traffic. If P_{all-V} denotes the long time average packet loss ratio of the combined Assured and the Basic traffic, then both classes are treated as lesser quality of services and the Virtual Leased Line service receives premium status.

In order to find the appropriate traffic mix, each outgoing link is analyzed to satisfy the design principles of the subscriptions. If λ_{all} is denoted as the total aggregate traffic rate for

the link under analysis, then λ_V , λ_A , and λ_B are denoted as the traffic rates of the Virtual Leased Line Assured, and Basic, respectively. Consequently, $\lambda_{all} = \lambda_V + \lambda_A + \lambda_B$.

If the total packet loss ratio remains the same when the traffic is divided into three classes or if WFQ and WRED only redistribute the total packet loss among the three classes, then the closed-loop impact from the TCP connections is ignored. If $P_A/P_B \approx \alpha_A$ is enforced, then any traffic mix falling into the shaded area (800) of Figure 8 will satisfy both equations set forth in examples 3 and 4 above.

Typically, the profit margin decreases as the service quality and reliability decreases. Therefore, typically λ_V provisioning is large relative to the total traffic, followed by the provisioning for λ_A from the remaining traffic. Thus, the optimal traffic mix is usually achieved at the vertex where λ_V and λ_A are the largest at the same time. This usually occurs when:

$$\frac{\lambda_V}{\lambda_{all}} = \frac{\beta_V}{1 + \beta_V}$$

and

$$\frac{\lambda_A}{\lambda_B} = \frac{\beta_A}{1 - \alpha_A}$$

For example, if the following design criteria are given: (1) the packet loss ratio for the Virtual Leased Line approaches zero, (2) the packet loss ratio of the Assured traffic is limited to no more than 50% of the Basic traffic (i.e., $\alpha_A = 0.5$), (3) deterioration of the Virtual Leased Line traffic is limited to less than 10% (i.e., $\beta_V = 0.1$), and (4) the Assured traffic should not allow

Basic traffic to deteriorate by more than 20% (i.e., $P_A=0.2$). If the average total traffic rate is estimated to be 100 Mbps ($\lambda_{all}=100\text{Mbps}$), the resulting average traffic rate for Virtual Leased Line service is 9.09Mbps ($\lambda_V=9.09\text{ Mbps}$) and the average traffic rate for the Assured service is 39.6Mbps ($\lambda_A=39.6\text{ Mbps}$).

The total peak rate of Virtual Leased Line traffic allowed on an outgoing link is governed by a rate cap (712) that is dependent on the total utilization of the link and degradation caused to the rest of the traffic. Determining the rate cap (712) can be obtained by letting R_V be the total subscribed peak rate of Virtual Leased Line on the link under consideration and u_V is the utilization of Virtual Leased Line. If the bandwidth of the link is R and the aggregate utilization of the link is u_{all} , then:

$$\frac{\lambda_V}{\lambda_{all}} = \frac{u_V R_V}{u_{all} W} \leq \frac{\beta_V}{1 + \beta_V}$$

$$R_V \leq \frac{\beta_V u_{all}}{(1 + \beta_V) u_V} R$$

In addition, only a fraction of the link bandwidth is dedicated to Virtual Leased Line through WFQ. If w_V is the percentage of the link bandwidth assigned to the Virtual Leased Line, then in order to guarantee near zero packet loss for Virtual Leased Line services, $R_V \leq w_V R$ is optimal. Therefore:

$$R_V \leq c_V R$$

and

$$c_V = \min\left\{\frac{\beta_V u_{all}}{(1 + \beta_V)u_V}, w_V\right\}$$

5 provides the maximum percentage of the total Virtual Leased Line subscribed rate to a specific link. The value of w_V is decided from jitter control consideration and is typically 50%.

This assumes that there exists an overall congestion along the link under consideration. When there is no overall congestion, QoS of all the three classes in terms of packet loss is not important. Hence, the value of u_{all} should equal the minimum threshold of the link utilization
10 u_{congst} when the link is considered being congested. Typically, u_{congst} has a value of 0.8. To determine u_V , assume that packets transmitted on a Virtual Leased Line are packet loss sensitive and therefore utilization should fall within a middle range. Also, since utilization of many Frame Relay 128k Permanent Virtual Circuits (PVCs) is approximately 50% during peak hours, selection of $u_V = 0.5$ is appropriate. In some instances, u_V can be adjusted higher on certain AR
15 links where majority of the traffic is Virtual Leased Line traffic. Therefore, c_V can be determined by:

$$c_V = \min\left\{\frac{0.4\beta_V}{(1 + \beta_V)}, w_V\right\}$$

20 Once an appropriate value of c_V has been determined, Virtual Leased Line bandwidth can be enforced across all lines by a value less than or equal to c_V .

While exemplary systems and methods embodying the present invention are shown by way of example, it will be understood, of course, that the invention is not limited to these embodiments. Modifications may be made by those skilled in the art, particularly in light of this disclosure. For example, each of the elements of the disclosed embodiments may be utilized
5 alone or in combination with elements of the other embodiments.

The subject matter of the invention is:

1. A method of classifying packet delivery according to a hierarchy of service classifications, comprising the steps of:

assigning a first set of packets to a first service class to be transmitted across a first
5 service class pathway comprising at least one link path in a network;

determining at an ingress point on the network whether the first set of packets assigned to the first service class can be sent at a transmission rate corresponding to a first service class subscription along the at least one link path in the network;

allocating sufficient bandwidth corresponding to the first service class subscription across the at least one link path in the network;

executing a class-based scheduling algorithm for the transmission of the first set of packets;

assigning weight provisions to the first set of packets; and

configuring routing parameters along the at least one link path in the network, according to implementation of the scheduling algorithm and the weight assignment provisions for the first set of packets.

2. The method according to claim 1, further comprising the step of allocating buffer space to the first service class such that transmission of the first set of packets produces a near-zero loss packet delay.

3. The method according to claim 1, further comprising the step of determining a cap on a total peak rate of the first service class on the at least one link path in the network.

4. The method according to claim 1, where the class-based scheduling algorithm for the transmission of the first set of packets comprises a weighted fair queuing scheme.

5. The method according to claim 1, where the class-based scheduling algorithm for the transmission of the first set of packets comprises a weighted round robin scheme.

6. The method according to claim 1, further comprising the step of randomly dropping packets belonging to the first service class according to a weighted random early discard scheme when the network is congested.

7. The method according to claim 1, further comprising the step of assigning a second set of packets to a second service class to be transmitted across a second service class pathway in the network.

8. The method according to claim 7, further comprising the step of determining at the ingress point on the network whether the second set of packets assigned to the second service class can be sent at a transmission rate corresponding to the second service class along each of the at least one link path in the network.

9. The method according to claim 8, further comprising the step of allocating sufficient bandwidth corresponding to the second service class across each of the at least one link path in the network.

10. The method according to claim 7, further comprising the step of executing a class-based scheduling algorithm for the transmission of the second set of packets.

11. The method according to claim 10, further comprising the step of assigning weight provisions to the second set of packets.

12. The method according to claim 11, further comprising the step of configuring the routing parameters according to implementation of the scheduling algorithm and the weight assignment provisions for the second set of packets.

13. The method according to claim 7, further comprising the step of randomly dropping packets belonging to the second service class according to a weighted random early discard scheme during congestion of the network.

14. The method according to claim 1, where the first service class comprises a point-to-point, unidirectional service.

15. The method according to claim 1, where the first service class comprises a point-to-point, bi-directional service.

16. The method according to claim 15, where the point-to-point, bi-directional service comprises asymmetric bandwidths in each direction.

17. The method according to claim 1, where the first service class comprises a point-to-multipoint, unidirectional service with a fixed aggregate rate of packet transmission.

18. The method according to claim 1, where the first service class comprises a multipoint-to-multipoint, bi-directional service.

19. A method of classifying packet delivery according to a hierarchy of service classifications, comprising the steps of:

assigning a first set of packets to a first service class to be transmitted across a first service class pathway comprising at least one first link path in a network;

assigning a second set of packets to a second service class to be transmitted across a second service class pathway comprising at least one second link path in a network;

assigning a third set of packets according to a third service class to be transmitted across a third service class pathway comprising at least one third link path in a network;

determining at an ingress point on the network whether the first set of packets assigned to the first service class can be sent at a transmission rate corresponding to the first service class along the at least one first link path in the network;

allocating sufficient bandwidth corresponding to the first service class across the at least one first link path in the network;

determining at an ingress point on the network whether the second set of packets assigned to the second service class can be sent at a transmission rate corresponding to the service class along each of the at least one second link paths in the network;

allocating sufficient bandwidth corresponding to the second service class across each of the at least one second link paths in the network;

executing a class-based scheduling algorithm for the transmission of the first and the second sets of packets;

assigning weight provisions to the first and the second sets of packets; and

configuring routing parameters according to implementation of the scheduling algorithm and the weight assignment provisions for the first and the second sets of packets.

20. The method according to claim 19, further comprising the step of determining time average packet loss ratios for the at least one first link path, the at least one second link path and the at least one third link path in the network for the first, the second, and the third sets of packets.

21. The method according to claim 19, further comprising the step of determining long time average packet loss ratios for the first, the second and the third sets of packets.

22. The method according to claim 19, further comprising the step of determining a predetermined traffic mix between the first, the second, and the third sets of packets.

23. The method according to claim 19, further comprising the step of determining a cap on a total peak rate of the first service class on the at least one first link path of the network.

24. The method according to claim 19, further comprising the step of allocating a buffer to store the first, the second, or the third sets of packets.

25. The method according to claim 19, further comprising the step of determining at the ingress point on the network whether a packet corresponds to the first or the second service class by analyzing the Diffserv Codepoints (DSCP) in the first, the second or the third sets of packets' headers.

26. The method according to claim 19, where the first service class is characterized by having a higher transmission priority than the second service class.

27. The method according to claim 19, where the class-based scheduling algorithm for the transmission of the first set of packets comprises a weighted fair queuing scheme.

28. The method according to claim 19, where the class-based scheduling algorithm for the transmission of the first set of packets comprises a weighted round robin scheme.

29. The method according to claim 19, further comprising the step of randomly dropping packets belonging to the first service class according to a weighted random early discard scheme during congestion of the network.

30. The method according to claim 19, further comprising the step of randomly dropping packets belonging to the second service class according to a weighted random early discard scheme during congestion of the network.

31. The method according to claim 19, where the allocation of bandwidth for the first service class is accomplished by using a first-in, first-out scheme.

32. The method according to claim 19, where the allocation of bandwidth for the second service class is accomplished by using a first-in, first-out scheme.

33. The method according to claim 19, further comprising dropping the first set of packets at a lower probability than dropping the second set of packets.

34. The method according to claim 19, where the first service class comprises a point-to-point, unidirectional service.

35. The method according to claim 19, where the first service class comprises a point-to-point, bi-directional service.

36. The method according to claim 35, where the point-to-point, bi-directional service comprises asymmetric bandwidths in each direction.

37. The method according to claim 19, where the first service class comprises a point-to-multipoint, unidirectional service with a fixed aggregate rate of packet transmission.

38. The method according to claim 19, where the first service class comprises a multipoint-to-multipoint, bi-directional service.

39. The method according to claim 19, further comprising a subscribed rate for the first service class that equals a predetermined subscribed rate.

40. The method according to claim 19, further comprising a subscribed rate for the first service class that is less than a predetermined subscribed rate.

41. The method according to claim 19, where the second service class comprises a point-to-any-point, unidirectional service.

42. The method according to claim 19, where the second service class comprises a point-to-any-point, bi-directional service.

43. The method according to claim 19, further comprising a subscribed rate for the second service class that equals a predetermined subscribed rate.

44. The method according to claim 19, further comprising a subscribed rate for the second service class that is less than a predetermined subscribed rate.

45. The method according to claim 22, where the predetermined traffic mix between the first and the second sets of packets allows unused bandwidth allocated to the first set of packets to be used by the second set of packets after the bandwidth for the first set of packets has been allocated.

46. The method according to claim 19, where the allocation of sufficient bandwidth for the first set of packets is such that transmission performance for the second set of packets is not deteriorated by a predetermined level.

47. An apparatus for classifying packet delivery according to a hierarchy of service classifications, comprising:

a first system having a first processor, a first memory, a first communication connection, and a first controller connected at an ingress point of a communications network linked to a second system having a second processor, a second memory, a second communication connection, and a second controller at an egress point on the communications network by at least one link path established between a plurality of nodes and capable of transmitting packets from the ingress point, across the plurality of nodes, to the egress point on the communications network;

a transmitter within the first system capable of reading and assigning a first set of packets to a first service class to be transmitted across the at least one link path on the communications network;

the controller within the first system capable of determining at the ingress point on the communications network whether the first set of packets assigned to the first service class can be sent at a transmission rate corresponding to the first service class along each of the at least one link paths on the communications network;

a bandwidth allocation device within the first system capable of allocating sufficient bandwidth to the first service class across each of the at least one link paths on the communication network and capable of implementing a class-based scheduling algorithm executable on the controller for scheduling the transmission of the first set of packets across the communication network;

a weight provisioning mechanism within the first system capable of assigning priority classification weights to the first set of packets; and

wherein the transmitter is capable of configuring routing parameters along the at least one link path according to implementation of the class-based scheduling algorithm and the priority classification weights established by the weight provisioning mechanism for the first set of packets.

48. The apparatus according to claim 47, where the first system is capable of executing the class- based scheduling algorithm for the transmission of the first set of packets comprising a weighted fair queuing scheme.

49. The apparatus according to claim 47, where the first system is capable of executing the class- based scheduling algorithm for the transmission of the first set of packets comprising a weighted round robin scheme.

50. The apparatus according to claim 47, wherein the first system is capable of randomly dropping packets belonging to the first service class according to a weighted random early discard scheme when the network is congested.

51. The apparatus according to claim 47, wherein the first system is capable of assigning the second set of packets to a second service class to be transmitted across a second service class pathway comprising the at least one link path connecting the plurality of nodes in the network.

52. The apparatus according to claim 51, wherein the first system is capable of determining at the ingress point on the network whether the second set of packets assigned to the second service class can be sent at a transmission rate corresponding to the second service class along each of the at least one link path connected the plurality of nodes in the network.

53. The apparatus according to claim 51, wherein the first system is capable of executing a class based scheduling algorithm for the transmission of the second set of packets.

54. The apparatus according to claim 53, wherein the first system is capable of assigning weight provisions to the second set of packets.

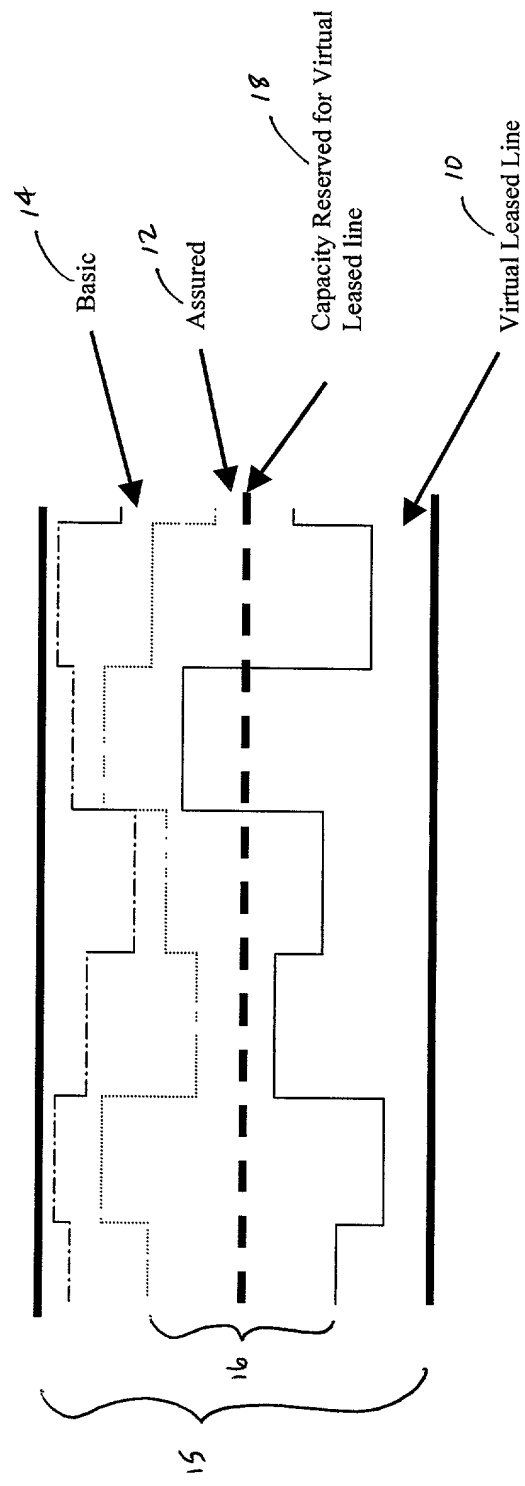
55. The apparatus according to claim 54, wherein the first system is capable of configuring the routing parameters according to implementation of the scheduling algorithm and the weight assignment provisions for the second set of packets.

56. The apparatus according to claim 51, wherein the first system is capable of randomly dropping packets belonging to the second service class according to a weighted random early discard scheme during congestion of the network.

Abstract

An architecture, design, and realization for providing Quality of Service (QoS) to Internet Protocol (IP) networks based on a three-class differentiated service scheme where the service provider uses a resource management system and a schedule optimizer to enable the optimal use of bandwidth and buffer resources at each node along the various links between the ingress and egress points in a network. The resource reservation system checks to determine whether sufficient bandwidth resources are available along the path requested by the customer for a particular class. The schedule optimizer ensures that sufficient buffer resource allocations and parameter settings are made to optimally reach the predetermined QoS criteria for each of the three classes. The system also contains a mechanism supporting resource reservations providing additional resources along alternative paths if the selected path links fail in the network.

Figure 1



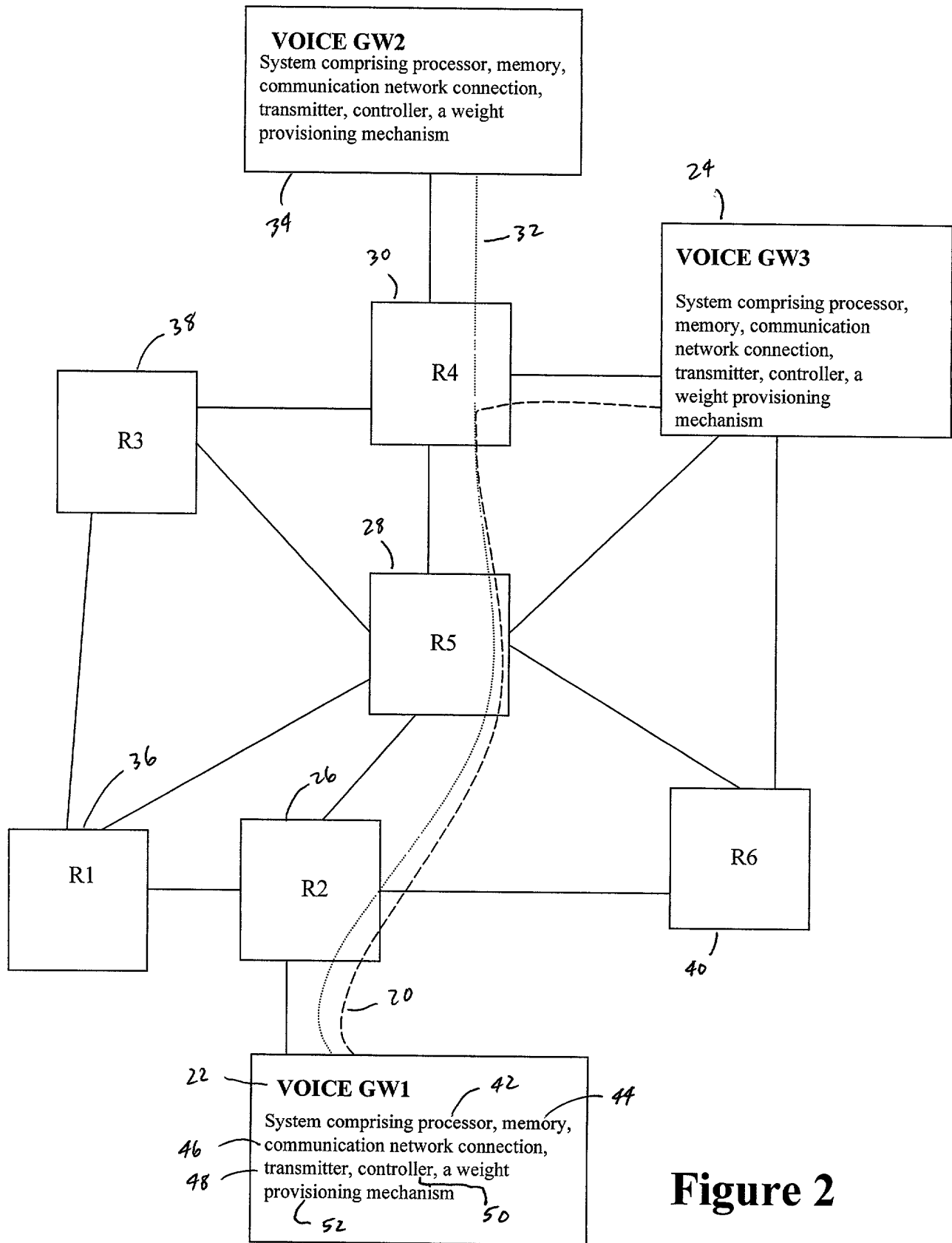


Figure 2

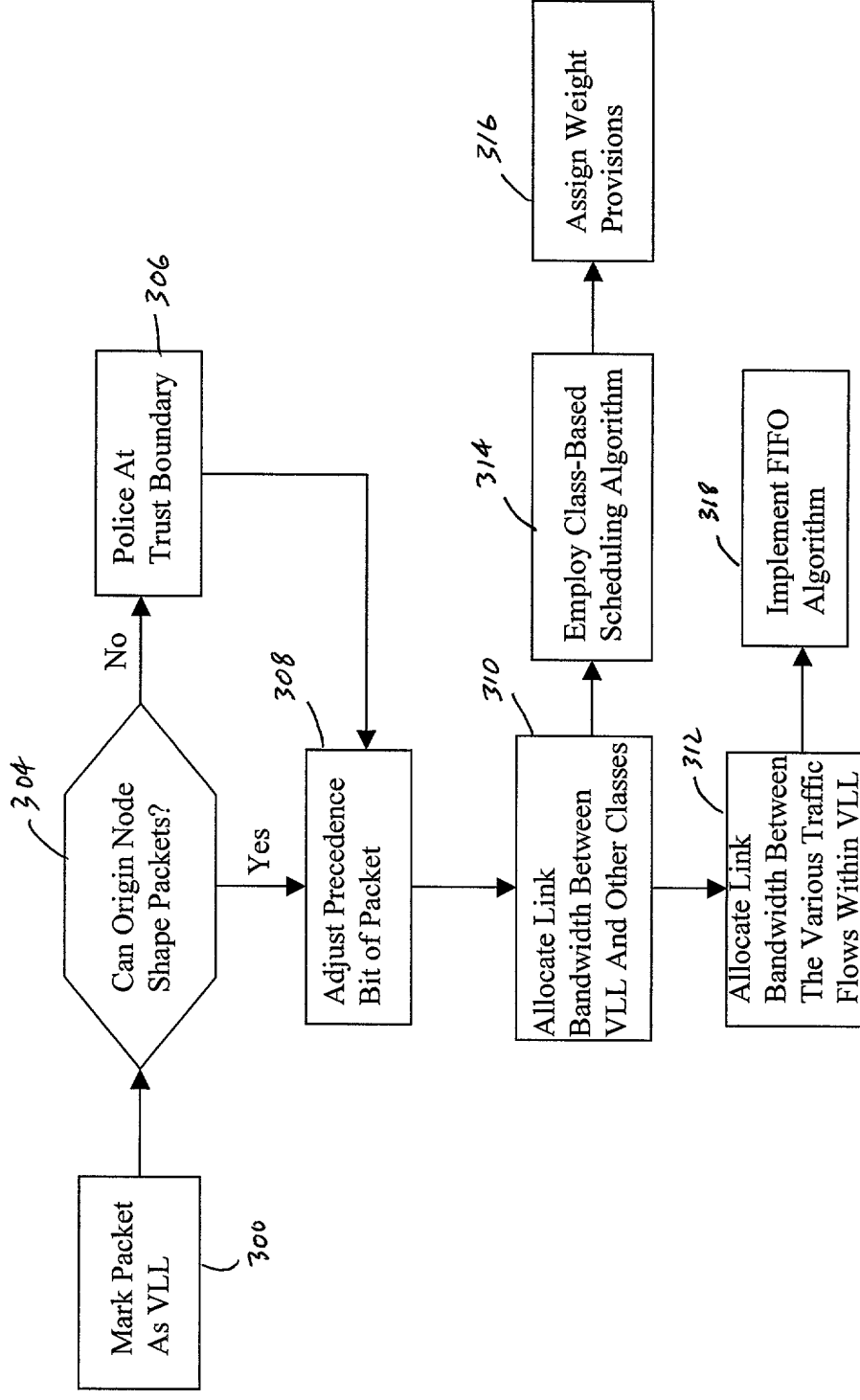


Figure 3

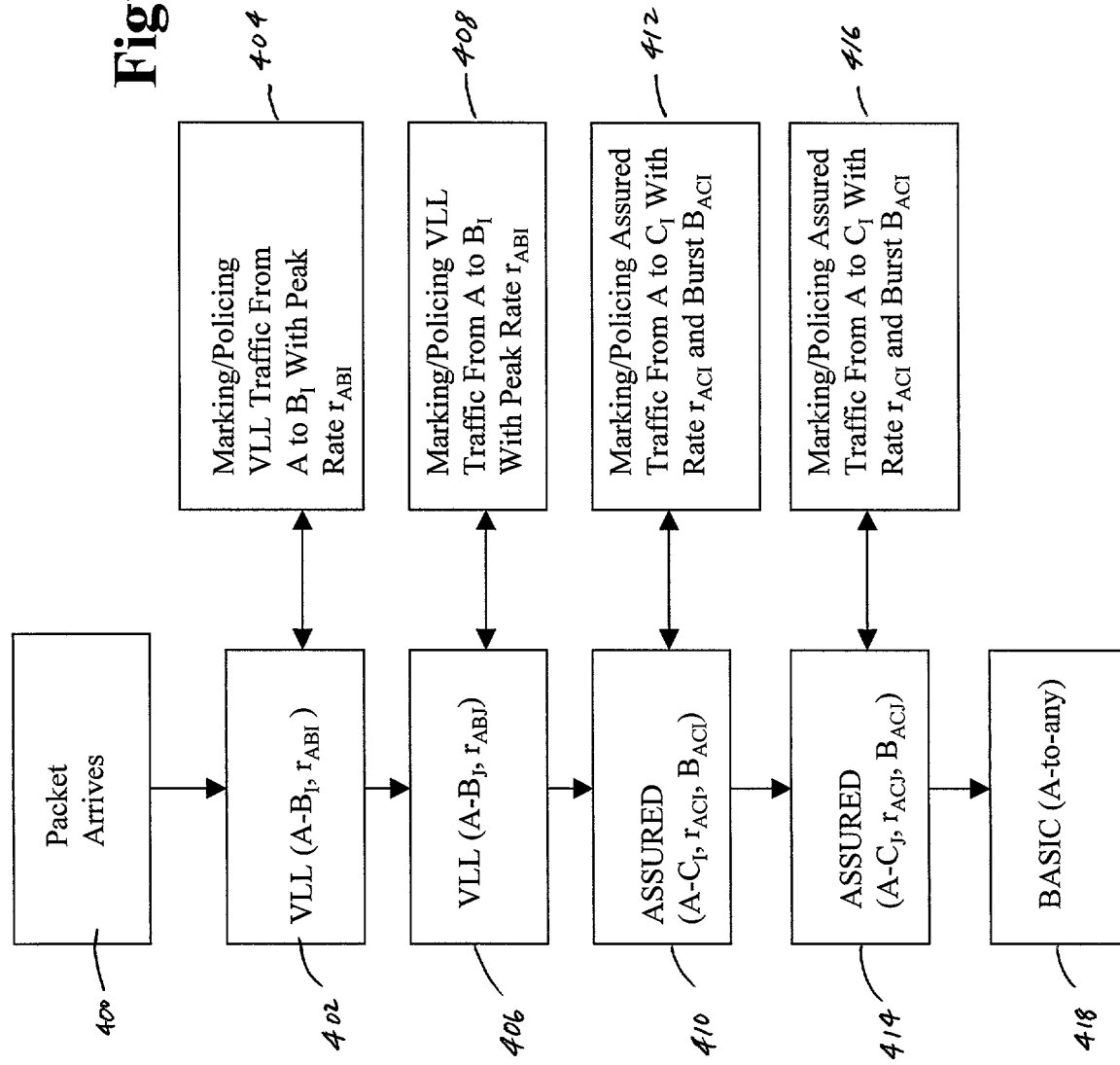


Figure 4

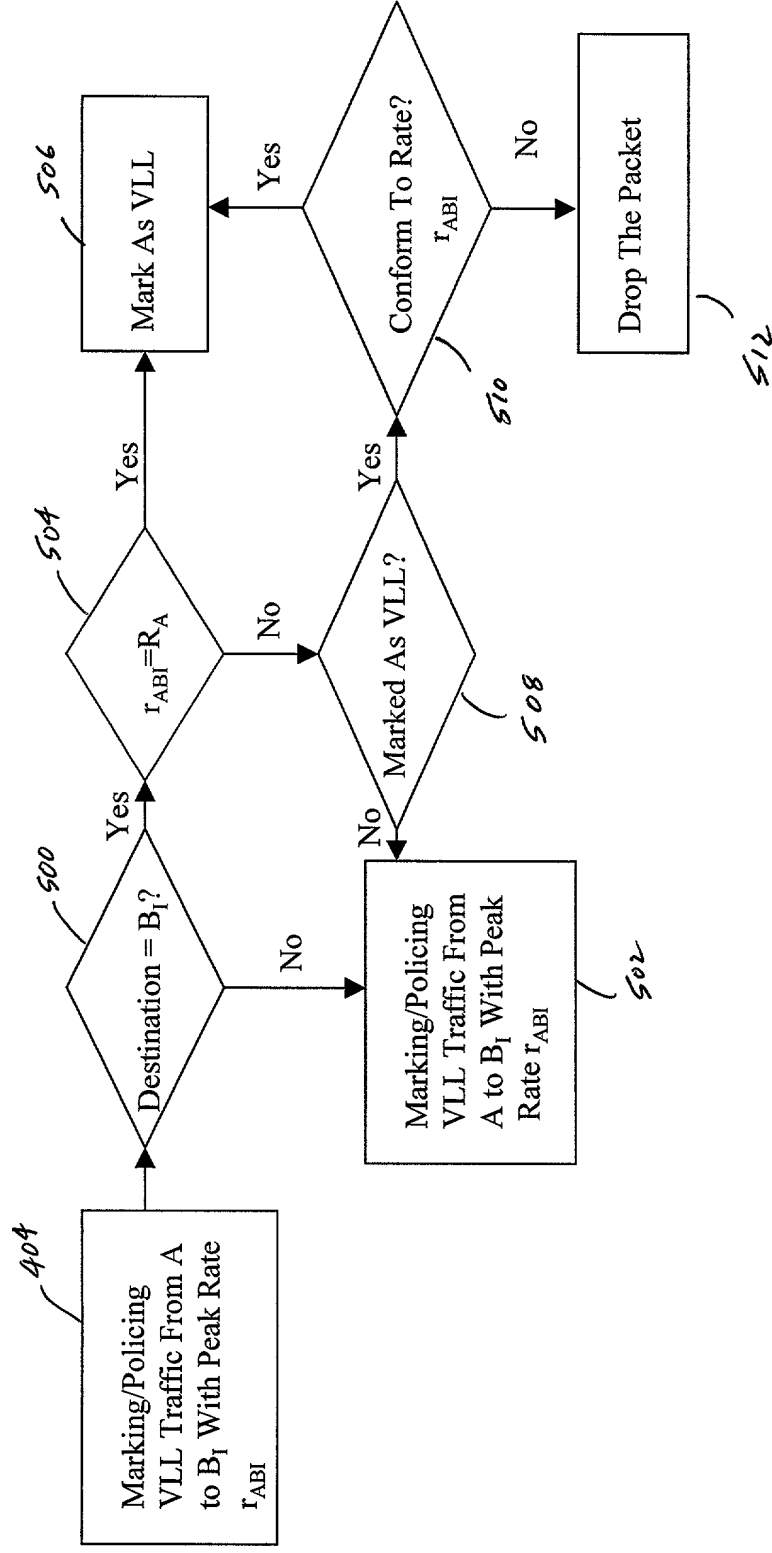
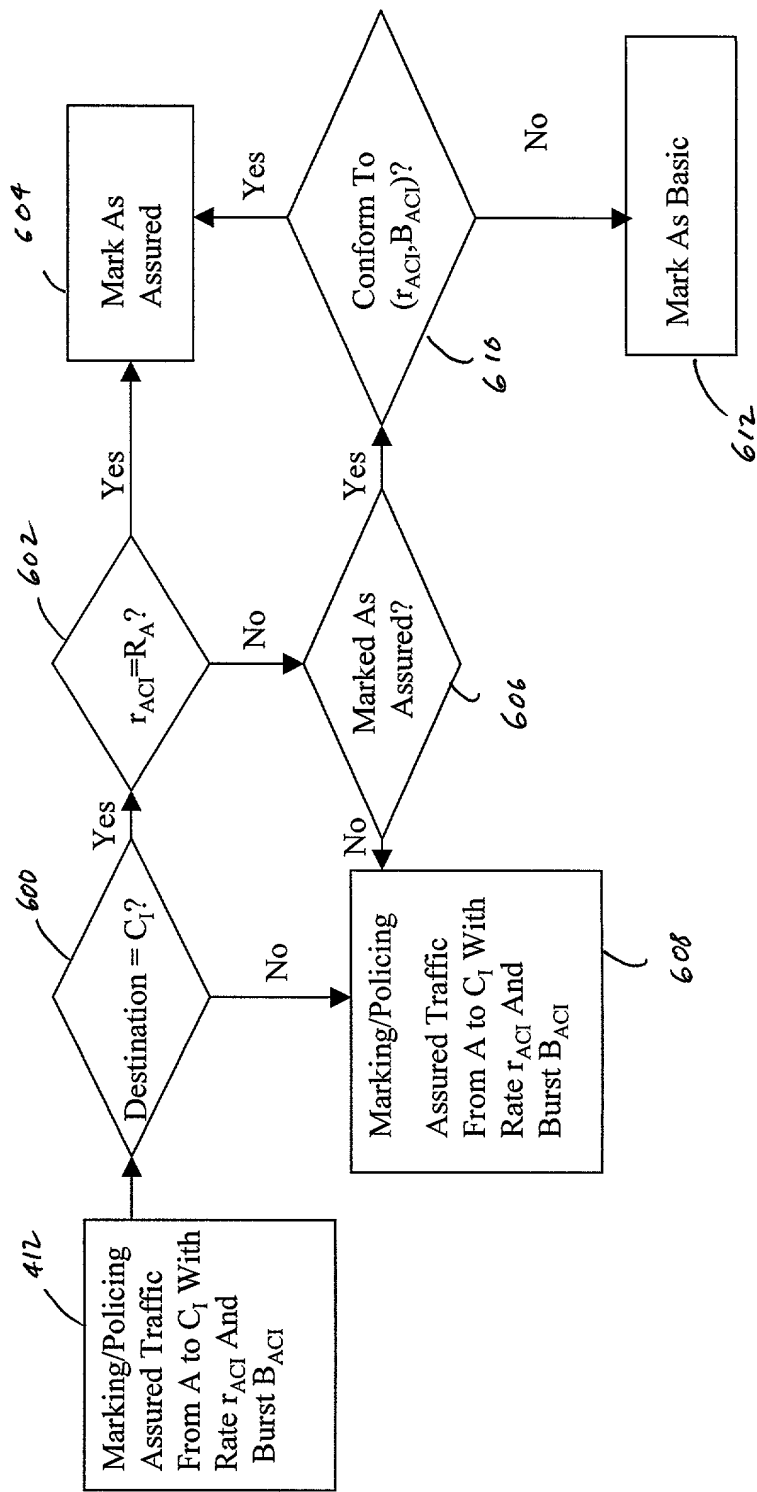


Figure 5

Figure 6



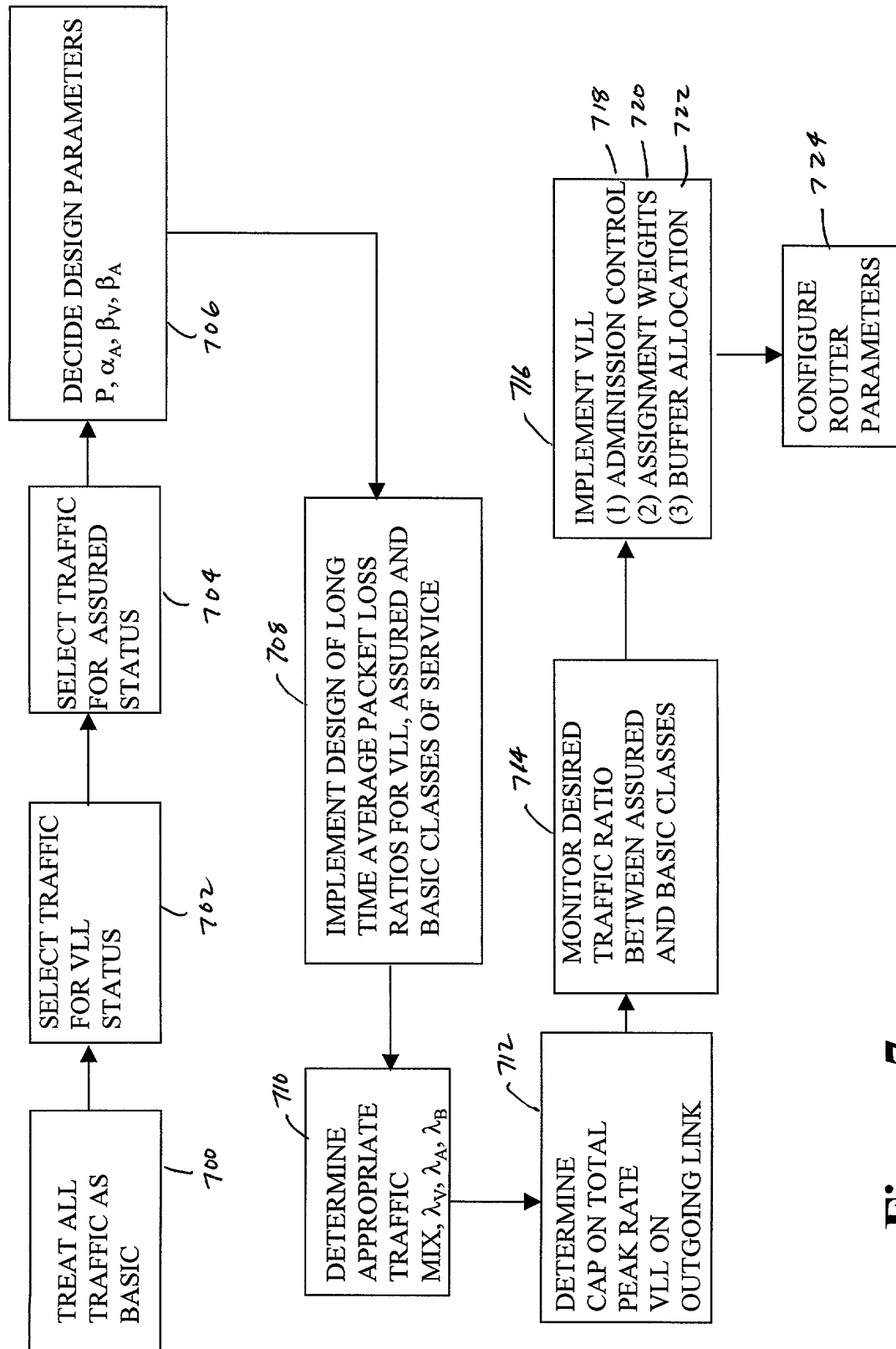


Figure 7

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Declaration and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Method And Apparatus For An Architecture And Design Of Internet Protocol Quality Of Service Provisioning**, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119(a-d) or 365(a-b) of PCT or foreign application(s) for patent or inventors' certificate listed below or priority benefits under 119(e) of any United States provisional application(s) listed below and have also identified below any foreign application for patent or inventors' certificate having a filing date before that of the application on which priority is claimed:

None

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, we acknowledge the duty to disclose all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None

SECRET-0069460

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

Samuel H. Dworetsky	(Reg. No. 27873)
Thomas A. Restaino	(Reg. No. 33444)
Cedric G. DeLaCruz	(Reg. No. 36498)
Michele L. Conover	(Reg. No. 34962)
Rohini K. Garg	(Reg. No. 45272)
Robert B. Levy	(Reg. No. 28234)
Benjamin S. Lee	(Reg. No. 42787)
Susan E. McHale	(Reg. No. 35948)
Alfred G. Steinmetz	(Reg. No. 22971)

I also appoint Thomas H. Jackson (Reg. No. 29808), Fredric M. Meeker (Reg. No. 35282), Joseph P. Krause (Reg. No. 32578), and Robert P. Hart (Reg. No. 35184) of Banner & Witcoff as associate attorneys, with full power to prosecute said application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence to Mr. S. H. Dworetsky, AT&T Corp., P.O. Box 4110, Middletown, New Jersey 07748. Telephone calls should be made to Robert B. Levy at 908-221-5714.

Full name of 1st joint inventor: Angela L. Chiu

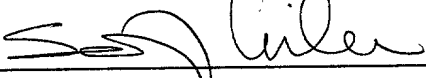
Inventor's signature Angela L. Chiu Date 11/16/98

Residence: Holmdel, Monmouth County, New Jersey

Citizenship: United States of America

Post Office Address: 9 Huntley Road
Holmdel, New Jersey 07733

Full name of 2nd joint inventor: Seyhan Civanlar

Inventor's signature  Date 11/12/99

Residence: Middletown Township, Monmouth County, New Jersey

Citizenship: United States of America

Post Office Address: 85 Coleman Avenue
Middletown Township, New Jersey 07701

DOCKET-0069460

Full name of 3rd joint inventor: Albert Gordon Greenberg

Inventor's signature _____ Date _____

Residence: Millburn, Essex County, New Jersey

Citizenship: United States of America

Post Office Address: 76 Chestnut Street
 Millburn, New Jersey 07041

660621-6063460

Inventor's signature

~~AS~~ Tang

Date _____

11/18/99

Citizenship: People's Republic of China

Post Office Address:

~~2 Main Braid Court~~

~~Tinton Falls, New Jersey 07753~~

7 Huntley Rd

Holmdel, NJ 07733

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